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INDICATION FORM**

Application Number	10/826,153
Filing Date	April 16, 2004
First Named Inventor	Scher
Title	Nanocrystal Taggants
Art Unit	
Examiner Name	
Attorney Docket Number	01-002001

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Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Name

Matthew B. Murphy

Signature

Date

8/22/04

Telephone

650-331-2109

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.



\*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: **Erik C. Scher et al.**

Application No.: **10/826,153**

Filed: **April 16, 2004**

Entitled: **Nanocrystal Taggants**

**Nanosys, Inc.**

, a

**Delaware corporation,**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

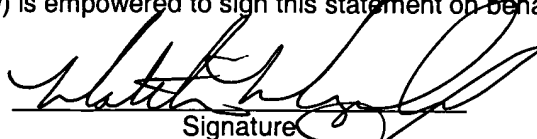
☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8/23/04

Date



Signature

**Matthew B. Murphy**

Typed or printed name

**Vice President, Intellectual  
Property**

Title

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS Erik C. Scher of 1040A Guerrero Street, San Francisco, CA 94110 and Stephen A. Empedocles of 1110 Rosefield Way, Menlo Park, CA 94025, hereinafter referred to as "Assignor(s)," is/are the inventor(s) of the invention described and set forth in the below identified application for a United States Letters Patent:

Title of the Invention: **Nanocrystal Taggants**

Filing Date: April 16, 2002

Serial No.: 10/826,153; and

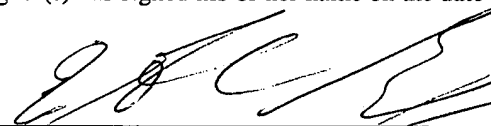
WHEREAS, NANOSYS, INC., a DELAWARE corporation located at 2625 Hanover Street, Palo Alto, CA 94304, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor(s), Assignor(s) has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model, and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries of the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor(s) had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation, in-whole or in-part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor(s) further agree(s) that Assignor(s) will without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patents and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor(s) has signed his or her name on the date indicated.

Date: 8/16/04

  
Erik C. Scher

Date: 8/10/04

  
Stephen A. Empedocles

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RECORDATION